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PTO/SB/05 (11-00)

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JC962 U.S. PTO

PTO
09/945142
08/31/01

UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.	J-2605A
First Inventor	Richard W. Avery
Title	Production of Stable Hydrolyzable Organosilane Solutions
Express Mail Label No.	EJ484161884US

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

- ☒ Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original and a duplicate for fee processing)
 - ☐ Applicant claims small entity status.
See 37 CFR 1.27.
 - ☒ Specification [Total Pages (preferred arrangement set forth below)
 - Descriptive title of the invention
 - Cross Reference to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to sequence listing, a table, or a computer program listing appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
 - ☐ Drawing(s) (35 U.S.C. 113) [Total Sheets - Oath or Declaration [Total Pages - ☐ Newly executed (original or copy)
 - ☐ Copy from a prior application (37 CFR 1.63 (d))
(for continuation/divisional with Box 18 completed)
 - ☐ **DELETION OF INVENTOR(S)**
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
- ☐ Application Data Sheet. See 37 CFR 1.76

ADDRESS TO: Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

- ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
- Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
 - ☐ Computer Readable Form (CRF)
 - Specification Sequence Listing on:
 - ☐ CD-ROM or CD-R (2 copies); or
 - ☐ paper
 - ☐ Statements verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

- ☐ Assignment Papers (cover sheet & document(s))
- ☐ 37 CFR 3.73(b) Statement (when there is an assignee) ☐ Power of Attorney
- ☐ English Translation Document (if applicable)
- ☒ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
- ☐ Preliminary Amendment
- ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
- ☐ Certified Copy of Priority Document(s) (if foreign priority is claimed)
- ☐ Request and Certification under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
- ☒ Other: SEE ATTACHED LIST

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

<input type="checkbox"/> Continuation	<input checked="" type="checkbox"/> Divisional	<input type="checkbox"/> Continuation-in-part (CIP)	of prior application No. <u>09/274,273</u>
Prior application information: Examiner <u>M. Cole</u>			Group Art Unit. <u>1743</u>

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the continuing application or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion of the disclosure is omitted from the submitted application parts.

19. MAILING ADDRESS

<input checked="" type="checkbox"/> Customer Number or Bar Code Label	<input type="checkbox"/> Correspondence address below
---	---

Name	S. C. JOHNSON & SON, INC.		
Address			
City	State	Zip Code	
Country	Telephone	Fax	

Name (Print/Type)	J. William Frank, III.	Registration No. (Attorney/Agent)	25,626
Signature		Date	8/31/01

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$) 820.00

Complete if Known

Application Number
Filing Date August 31, 2001
First Named Inventor Richard W. Avery
Examiner Name
Group Art Unit
Attorney Docket No J-2605A

METHOD OF PAYMENT

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number 10-0849
Deposit Account Name S. C. JOHNSON & SON, INC.

☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

☐ Applicant claims small entity status. See 37 CFR 1.27

2. ☐ Payment Enclosed:
☐ Check ☐ Credit card ☐ Money Order ☐ Other

FEE CALCULATION

1. BASIC FILING FEE

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
101 710	201 355	Utility filing fee	710
106 320	206 160	Design filing fee	
107 490	207 245	Plant filing fee	
108 710	208 355	Reissue filing fee	
114 150	214 75	Provisional filing fee	

SUBTOTAL (1) (\$) 710

2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from below	Fee Paid
Independent Claims	- 20** =	X	
Multiple Dependent Claims	- 3** =	X	

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description
103 18	203 9	Claims in excess of 20
102 30	202 40	Independent claims in excess of 3
104 270	204 135	Multiple dependent claim, if not paid
109 90	209 40	** Reissue independent claims over original patent
110 18	210 9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$)

**for number previously paid, if greater. For Reissues, see above.

FEE CALCULATION continued

3. ADDITIONAL FEES

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
105 130	205 65	Surcharge - late filing fee or path	
127 50	227 25	Surcharge - late provisional filing fee or cover sheet	
109 130	209 130	Non-English specification	
147 2,520	247 2,520	For filing a request for ex parte reexamination	
112 920*	212 920*	Requesting publication of SIR prior to Examiner action	
113 1,840*	213 1,840*	Requesting publication of SIR after Examiner action	
115 110	215 55	Extension for reply within first month	
116 330	216 195	Extension for reply within second month	
117 830	217 445	Extension for reply within third month	
118 1,390	218 695	Extension for reply within fourth month	
128 1,390	228 945	Extension for reply within fifth month	
119 310	219 155	Notice of Appeal	
120 310	220 155	Filing a brief in support of an appeal	
121 270	221 135	Request for oral hearing	
138 1,510	238 1,510	Petition to institute a public use proceeding	
140 110	240 55	Petition to revive - unavoidable	
141 1,240	241 620	Petition to revive - unintentional	
142 1,240	242 620	Utility issue fee (or reissue)	
143 440	243 220	Design issue fee	
144 600	244 300	Plant issue fee	
122 130	222 130	Petitions to the Commissioner	
123 50	223 50	Petitions related to provisional applications	
126 240	226 240	Submission of Information Disclosure Stmt	
581 40	581 40	Recording each patent assignment per property (times number of properties)	
146 710	246 355	Filing a submission after final rejection (37 CFR § 1.129(a))	
149 710	249 355	For each additional invention to be examined (37 CFR § 1.129(a))	
173 710	273 355	Request for Continued Examination, RCE	
169 900	269 900	Request for expedited examination of a design application	
Other fee (specify) Terminal Disclaimer			110

Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$) 110

SUBMITTED BY

Name, Print Type J. William Frank, III

Registration No. Attorney Agent

25,626

Complete, if applicable

Telephone 262-260-2673

Signature

[Signature]

Date

8/31/01

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

CERTIFICATION UNDER 37 CFR 1.10

I HEREBY CERTIFY THAT THE ACCOMPANYING TRANSMITTAL LETTER AND THE DOCUMENTS REFERRED TO THEREIN ARE BEING DEPOSITED WITH THE UNITED STATES POSTAL SERVICES ON THIS DATE.

August 31, 2001

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EJ484161884US

ADDRESSED TO:

ASSISTANT COMMISSIONER FOR PATENTS
WASHINGTON, D.C. 20231.

Sharon L. Klaus
(PERSON MAILING PAPER)

Sharon L. Klaus
(SIGNATURE OF PERSON MAILING PAPER)

Attachment to Utility Patent Application Transmittal

Applicant: Richard W. Avery

Title: Production of Stable Hydrolyzable Organosilane Solutions

2 pgs. Terminal Disclaimer To Obviate A Provisional double Paten Rejection over A Pending Second Application

7 pgs. Plus U.S. Patent 6,113,815 Request For Interference Under 37 C.F.R. 1.607 Accompanying Application

6 pgs. Letter under 37 C.F.R. 1.608.(b)

3 pgs. Inventor's Declaration Under 37 C.F.R. 1.608(b) plus 9 pages Exhibit A

3 pgs. Corroborating Witness Declaration Under 37 C.F.R. 1.608(b) plus 9 pgs. Exhibit A

2 pgs. Information Disclosure Statement

1 pg. Associate Power of Attorney